

# SLFRF Compliance Report - SLT-2028-P&E Report-Q3 2022

## Report Period : Quarter 3 2022 (July-September)

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### Recipient Profile

#### Recipient Information

Recipient UEI	██████████
Recipient TIN	██████████
Recipient Legal Entity Name	Penobscot County, Maine
Recipient Type	Metro City or County
FAIN	
CFDA No./Assistance Listing	
Recipient Address	97 Hammond Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Bangor
Recipient State/Territory	ME
Recipient Zip5	04401
Recipient Zip+4	
Recipient Reporting Tier	Tier 2. Metropolitan cities and counties with a population below 250,000 residents which received more than \$10 million in SLFRF funding
Base Year Fiscal Year End Date	12/31/2022
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

# Project Overview

## Project Name: Boiler Replacement

Project Identification Number	6.1.0006
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$760,000.00
Total Cumulative Expenditures	\$34,590.00
Current Period Obligations	\$34,590.00
Current Period Expenditures	\$34,590.00
Project Description	Replacement of failing boilers for county campus

## Project Name: Campus Renovation Planning

Project Identification Number	6.1.0002
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$50,000.00
Total Cumulative Expenditures	\$12,510.00
Current Period Obligations	\$6,355.00
Current Period Expenditures	\$6,355.00
Project Description	Site planning to make the County Campus function more efficiently for the public

## Project Name: Upgrade to IT software and hardware

Project Identification Number	6.1.0001
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Adopted Budget	\$380,000.00
Total Cumulative Obligations	\$380,000.00
Total Cumulative Expenditures	\$182,228.81
Current Period Obligations	\$148,590.75
Current Period Expenditures	\$148,590.75
	The proposed upgrades to the power supply redundancy and

Project Description	server capacity, along with extending and securing the network increase the county's ability to respond to situations like we faced with the lockdown. Redundancy means that should there be power interruptions in one area, we can compensate. Increasing server capacity means that should we need to disperse to work remotely, we can do the work the county needs without compromise or interruption. The same is true with extending the network to the judicial building. This will allow for trials to continue in a safe and secure manner and avoid the backlog of cases we still face, as well as potentially shortening the amount of time people are incarcerated.
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**Project Name: Administration of ARPA Local Grant Process**

Project Identification Number	7.1.0003
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed 50% or more
Total Cumulative Obligations	\$63,188.14
Total Cumulative Expenditures	\$6,814.16
Current Period Obligations	\$410.74
Current Period Expenditures	\$410.74
Project Description	Development of local grant program to distribute SLFRF funds to organizations in Penobscot County. This includes software to manage the application process and legal fees to develop contracts for the different programs. This is to include any legal fees for other needs related to the grant programs.

**Project Name: Elevator Upgrades**

Project Identification Number	6.1.0005
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Total Cumulative Obligations	\$53,000.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Repair and updates to the jail elevator

**Project Name: TRIO Web Upgrade**

Project Identification Number	6.1.0004
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	

Total Cumulative Obligations	\$12,000.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Update to web-based HR/ Financial system to improve capacity to have people work remotely.

**Project Name: Control Room Updates**

Project Identification Number	6.1.0003
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Total Cumulative Obligations	\$1,000,000.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Updates to the Jail Control Room

**Project Name: Body Scanner for Jail**

Project Identification Number	1.14.0001
Project Expenditure Category	1-Public Health
Project Expenditure Subcategory	1.4-Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, Child care facilities, etc.)
Status To Completion	Not Started
Total Cumulative Obligations	\$140,000.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Body Scanner (Tek 84) assesses temperature and performs low level x-ray in place of body cavity searches.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	This isn't a program but a way to keep people who are incarcerated safer.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	This response minimizes prolonged contact on intake to the jail. This helps limit the spread of illness in the jail and limits the ability of inmates to smuggle drugs into the jail in a way that doesn't require body cavity searches.

**Project Name: Time Clock Upgrade**

Project Identification Number	3.4-0001
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Project Expenditure Category	3-Public Health-Negative Economic Impact: Public Sector Capacity
Project Expenditure Subcategory	3.4-Public Sector Capacity: Effective Service Delivery
Status To Completion	Completed 50% or more
Total Cumulative Obligations	\$16,000.00
Total Cumulative Expenditures	\$15,644.50
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Upgrade time clock system to address new COVID related precautions
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	Time clock system impacts all of the Corrections Officers in the county Jail and many of the staff at the county. The new system is based on facial recognition with masks in place. This new system also cuts the amount of paper moved from one office to another limiting paper waste and potential transmission.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	This is not a capital expense. A time clock system is needed for the workers within the county this upgrade addresses the new reality of 'No Touch' systems while being cost effective.

### Project Name: Premium Pay- County Employees

Project Identification Number	4.1:00001
Project Expenditure Category	4-Premium Pay
Project Expenditure Subcategory	4.1-Public Sector Employees
Status To Completion	Completed
Total Cumulative Obligations	\$573,796.79
Total Cumulative Expenditures	\$573,796.79
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Hazard Pay for Essential County Workers. Essential workers are defined as "PERFORMING ESSENTIAL WORK DURING THE COVID-19 PUBLIC HEALTH EMERGENCY... WITHIN THE COUNTY TO COMPENSATE ELIGIBLE WORKERS FOR PERFORMING ESSENTIAL WORK."
Sectors Designated as Essential Critical Infrastructure Sectors	All of the employees paid work for Penobscot County. They represent the Sheriff's Dept., The Correctional Facility Staff, and office employees with public facing jobs that could not be done remotely.
Number of workers to be served	228
	The people paid represent essential services the County required to have operating through out the pandemic. This pay allowed them to continue coming to work during this time and rewarded them for the risks they were taking in doing so. The County government provides vital services such as a 911 call center, Sheriff's office, and a jail. These services were vital to the continuation of the county's public safety. None of these services could be delayed due to the

Premium Pay Narrative	lockdown or other emergency measures. This money helped keep people in the jobs they were doing under the most difficult situations. XX employees in supervisory or specialized positions received Hazard pay putting them above the 150% of the state's annual wage. These positions were crucial to operation of the County services. The personnel in those positions represent years of experience that could not be duplicated in other personnel or new hires. Maine Average annual wage according to US Bureau of Labor Statistics is \$51952. That makes 150%, \$77928
Number of workers to be served with premium pay in K-12 schools	0

**Project Name: Grant Manager**

Project Identification Number	7.1:00001
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$218,821.76
Total Cumulative Expenditures	\$48,501.31
Current Period Obligations	\$13,250.19
Current Period Expenditures	\$13,250.19
Project Description	Salary and benefits for a 24 hour a week position to manage the Grant making process as it relates to the ARPA funds. This position will also monitor and develop internal processes as needed. This position will also support reporting on expenditures of the ARPA funds.

**Project Name: Listening Session Facilitator**

Project Identification Number	7.1:00002
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed
Total Cumulative Obligations	\$10,000.00
Total Cumulative Expenditures	\$10,000.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	To foster public engagement the Commissioner's voted to have in-person and virtual listening sessions run by a professional facilitator to educate the public on the uses of ARPA funds and what they would like to see done with them. At the end of the sessions the facilitator will compile a report to be presented to the Commissioners.

## Subrecipients

### Subrecipient Name: Lisette Carrithers

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:lcarrithers@penobscot-county.net">lcarrithers@penobscot-county.net</a>
Address Line 1	██████████
Address Line 2	
Address Line 3	
City	██████████
State	ME
Zip	██████
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	Yes
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

### Subrecipient Name: Penobscot County, Maine

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	97 Hammond St.
Address Line 2	
Address Line 3	
City	Bangor
State	ME
Zip	04401
Zip+4	
Entity Type	
Is the Recipient Registered in SAM.Gov?	Yes

### Subrecipient Name: LM Strategy

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:laura@lmstrategy.com">laura@lmstrategy.com</a>
Address Line 1	██████████

Address Line 2	
Address Line 3	
City	██████
██████	███
Zip	██████
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

**Subrecipient Name: Haley Ward, Inc.**

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	One Merchant Plaza
Address Line 2	Suite 701
Address Line 3	
City	Bangor
State	ME
Zip	04401
Zip+4	8304
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	N/A

**Subrecipient Name: ScholarSelect, LLC**

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	5411 McCommas Blvd.
Address Line 2	
Address Line 3	
City	Dallas
State	TX
Zip	75206
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	N/A



**Subrecipient Name: Tek 84**

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	13495 Gregg St.
Address Line 2	
Address Line 3	
City	Poway
State	CA
Zip	92064
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	Yes

**Subrecipient Name: CMC Technology Group**

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	62 Maine Ave.
Address Line 2	
Address Line 3	
City	Farmingdale
State	ME
Zip	04344
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	Yes

**Subrecipient Name: Consolidated Communications, Inc.**

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	P.O. Box 70347
Address Line 2	
Address Line 3	
City	Philadelphia
State	PA
Zip	19176

Zip+4	0347
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	Yes

**Subrecipient Name: Lee Baxter**

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:ccollins@ctrmaine.com">ccollins@ctrmaine.com</a>
Address Line 1	79A Bradley Dr.
Address Line 2	
Address Line 3	
City	Westbrook
State	ME
Zip	04292
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

**Subrecipient Name: Rudman-Winchell**

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:accounting@rudmanwinchell.com">accounting@rudmanwinchell.com</a>
Address Line 1	84 Harlow St.
Address Line 2	
Address Line 3	
City	Bangor
State	ME
Zip	04402
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

## Subawards

### Subaward No: 0001

Subaward Type	Contract: Purchase Order
Subaward Obligation	\$50,000.00
Subaward Date	3/3/2020
Place of Performance Address 1	97 Hammond St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	Umbrella expense for administration of County grant programs
Subrecipient	Penobscot County, Maine
Period of Performance Start	3/3/2021
Period of Performance End	12/31/2026

### Subaward No: 3.4.0003

Subaward Type	Contract: Purchase Order
Subaward Obligation	\$300,000.00
Subaward Date	2/8/2022
Place of Performance Address 1	97 Hammond St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	Upgrades to network to allow for more easily handle the increased demand that working remotely and teleconference services require. Also expands network to include Court House and the need to have video conferencing available for trials.
Subrecipient	CMC Technology Group
Period of Performance Start	4/1/2022
Period of Performance End	4/1/2023

### Subaward No: A0620

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Subaward Type	Direct Payment
Subaward Obligation	\$50,000.00
Subaward Date	5/20/2022
Place of Performance Address 1	97 Hammond St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	The telecom portion of the IT Upgrades
Subrecipient	Consolidated Communications, Inc.
Period of Performance Start	4/11/2023
Period of Performance End	4/11/2023

**Subaward No: 1.4.0001**

Subaward Type	Direct Payment
Subaward Obligation	\$140,000.00
Subaward Date	5/24/2022
Place of Performance Address 1	85 Hammond St.
Place of Performance Address 2	Penobscot County Jail
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	This sub-award is for the purchase and installation of a body scanner for the Penobscot County Jail. This scanner can provide temperature readings without touching the individual and emits low level x-ray, similar to that used in airport screenings, to assess if the individual is attempting to smuggle contraband into the facility. This eliminates the need for invasive body cavity searches. Making the jail safer as far as infection control and reduced contraband.
Subrecipient	Tek 84
Period of Performance Start	8/10/2022
Period of Performance End	8/10/2023

**Subaward No: 00001**

Subaward Type	Direct Payment
Subaward Obligation	\$218,821.76
Subaward Date	9/30/2021

Place of Performance Address 1	97 Hammond St, County Administrator's Office
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	This position is needed to manage these once in a lifetime funds and develop the processes to track and disperse the funds while maintaining the reporting and documentation required by the Federal government.
Subrecipient	Lisette Carrithers
Period of Performance Start	10/19/2021
Period of Performance End	12/31/2026

**Subward No: 4.1:00001**

Subaward Type	Grant: Lump Sum Payment(s)
Subaward Obligation	\$573,796.79
Subaward Date	3/3/2021
Place of Performance Address 1	97 Hammond St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	The people paid represent essential services the County required to have operating through out the pandemic. This pay allowed them to continue coming to work during this time and rewarded them for the risks they were taking in doing so. The County government provides vital services such as a 911 call center, Sheriff's office, and a jail. These services were vital to the continuation of the county's public safety. None of these services could be delayed due to the lockdown or other emergency measures. This money helped keep people in the jobs they were doing under the most difficult situations.
Subrecipient	Penobscot County, Maine
Period of Performance Start	3/3/2021
Period of Performance End	12/31/2021
Primary Sector	any work performed by an employee of a State, local, or Tribal government
Purpose of Funds	Premium pay for County employees meeting the definition of Essential workers

# Expenditures

## Expenditures for Awards more than \$50,000

### Expenditure: EN-00601178

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	Lisette Carrithers
Expenditure Start	7/1/2022
Expenditure End	9/30/2022
Expenditure Amount	\$13,250.19

### Expenditure: EN-00288615

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	Lisette Carrithers
Expenditure Start	1/1/2022
Expenditure End	3/30/2022
Expenditure Amount	\$14,984.64

### Expenditure: EN-00378128

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	Lisette Carrithers
Expenditure Start	4/1/2022
Expenditure End	6/30/2022
Expenditure Amount	\$12,418.79

**Expenditure: EN-00042443**

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	Lisette Carrithers
Expenditure Start	10/19/2021
Expenditure End	12/31/2021
Expenditure Amount	\$7,847.69

**Expenditure: EN-00042561**

Project Name	Premium Pay- County Employees
Subaward ID	SUB-0033216
Subaward No	4.1:00001
Subaward Amount	\$573,796.79
Subaward Type	Grant: Lump Sum Payment(s)
Subrecipient Name	Penobscot County, Maine
Expenditure Start	3/3/2021
Expenditure End	12/31/2021
Expenditure Amount	\$573,796.79

**Aggregate Expenditures for Awards less than \$50,000**

Expenditure: EN-00601129

Project Name	Administration of ARPA Local Grant Process
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$252.00
Total Period Obligation Amount	\$252.00

Expenditure: EN-00601149

Project Name	Administration of ARPA Local Grant Process
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$47.60
Total Period Obligation Amount	\$47.60

Expenditure: EN-00601204

Project Name	Administration of ARPA Local Grant Process
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Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$111.14
Total Period Obligation Amount	\$111.14

Expenditure: EN-00284436

Project Name	Administration of ARPA Local Grant Process
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$3,626.02
Total Period Obligation Amount	\$10,000.00

Expenditure: EN-00444135

Project Name	Administration of ARPA Local Grant Process
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$2,777.40
Total Period Obligation Amount	\$2,777.40

Expenditure: EN-00439389

Project Name	Upgrade to IT software and hardware
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$3,055.00
Total Period Obligation Amount	\$10,000.00

Expenditure: EN-00289003

Project Name	Campus Renovation Planning
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$4,100.00
Total Period Obligation Amount	\$50,000.00

Expenditure: EN-00378072

Project Name	Campus Renovation Planning
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$2,055.00
Total Period Obligation Amount	\$50,000.00

Expenditure: EN-00378084

Project Name	Time Clock Upgrade
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Period Expenditure Amount	\$15,644.50
Total Period Obligation Amount	\$16,000.00



Expenditure: EN-00284438

Project Name	Listening Session Facilitator
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$10,000.00
Total Period Obligation Amount	\$10,000.00

# Report

## Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	Yes
Revenue Loss Due to Covid-19 Public Health Emergency	\$10,000,000.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	Funds have been used to upgrade systems and equipment so that should a new emergency requiring remote working conditions happen, the county can now transition quickly.

## Overview

Total Obligations	
Total Expenditures	
Total Adopted Budget	
Total Number of Projects	
Total Number of Subawards	
Total Number of Expenditures	

## Certification

Authorized Representative Name	Lisette Carrithers
Authorized Representative Telephone	203-843-2448
Authorized Representative Title	Grant Manager
Authorized Representative Email	<a href="mailto:lcarrithers@penobscot-county.net">lcarrithers@penobscot-county.net</a>
Submission Date	10/19/2022 2:05 PM