

# SLFRF Compliance Report - SLT-2028-P&E Report-Q1 2022

## Report Period : Quarter 1 2022 (January-March)

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### Recipient Profile

#### Recipient Information

Recipient UEI	██████████
Recipient TIN	██████████
Recipient Legal Entity Name	Penobscot County, Maine
Recipient Type	
FAIN	
CFDA No./Assistance Listing	
Recipient Address	97 Hammond Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Bangor
Recipient State/Territory	ME
Recipient Zip5	04401
Recipient Zip+4	
Recipient Reporting Tier	Tier 2. Metropolitan cities and counties with a population below 250,000 residents which received more than \$10 million in SLFRF funding
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

# Project Overview

## Project Name: Listening Session Facilitator

Project Identification Number	7.1:00002
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed
Total Cumulative Obligations	\$10,000.00
Total Cumulative Expenditures	\$10,000.00
Current Period Obligations	\$10,000.00
Current Period Expenditures	\$10,000.00
Project Description	To foster public engagement the Commissioner's voted to have in-person and virtual listening sessions run by a professional facilitator to educate the public on the uses of ARPA funds and what they would like to see done with them. At the end of the sessions the facilitator will compile a report to be presented to the Commissioners.

## Project Name: Grant Manager

Project Identification Number	7.1:00001
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$218,821.76
Total Cumulative Expenditures	\$22,832.33
Current Period Obligations	\$14,984.64
Current Period Expenditures	\$14,984.64
Project Description	Salary and benefits for a 24 hour a week position to manage the Grant making process as it relates to the ARPA funds. This position will also monitor and develop internal processes as needed. This position will also support reporting on expenditures of the ARPA funds.

## Project Name: Premium Pay- County Employees

Project Identification Number	4.1:00001
Project Expenditure Category	4-Premium Pay
Project Expenditure Subcategory	4.1-Public Sector Employees
Status To Completion	Completed
Total Cumulative Obligations	\$573,796.79
Total Cumulative Expenditures	\$573,796.79
Current Period Obligations	\$0.00

Current Period Expenditures	\$0.00
Project Description	Hazard Pay for Essential County Workers. Essential workers are defined as "PERFORMING ESSENTIAL WORK DURING THE COVID-19 PUBLIC HEALTH EMERGENCY... WITHIN THE COUNTY TO COMPENSATE ELIGIBLE WORKERS FOR PERFORMING ESSENTIAL WORK."
Sectors Designated as Essential Critical Infrastructure Sectors	All of the employees paid work for Penobscot County. They represent the Sheriff's Dept., The Correctional Facility Staff, and office employees with public facing jobs that could not be done remotely.
Number of workers to be served	228
Premium Pay Narrative	The people paid represent essential services the County required to have operating through out the pandemic. This pay allowed them to continue coming to work during this time and rewarded them for the risks they were taking in doing so. The County government provides vital services such as a 911 call center, Sheriff's office, and a jail. These services were vital to the continuation of the county's public safety. None of these services could be delayed due to the lockdown or other emergency measures. This money helped keep people in the jobs they were doing under the most difficult situations. XX employees in supervisory or specialized positions received Hazard pay putting them above the 150% of the state's annual wage. These positions were crucial to operation of the County services. The personnel in those positions represent years of experience that could not be duplicated in other personnel or new hires. Maine Average annual wage according to US Bureau of Labor Statistics is \$51952. That makes 150%, \$77928
Number of workers to be served with premium pay in K-12 schools	0

**Project Name: Administration of ARPA Local Grant Process**

Project Identification Number	7.1.0003
Project Expenditure Category	7-Administrative
Project Expenditure Subcategory	7.1-Administrative Expenses
Status To Completion	Completed 50% or more
Adopted Budget	\$30,000.00
Total Cumulative Obligations	\$10,000.00
Total Cumulative Expenditures	\$3,626.02
Current Period Obligations	\$4,000.00
Current Period Expenditures	\$3,626.02
Project Description	Development of local grant program to distribute SLFRF funds to organizations in Penobscot County. This includes software to manage the application process and legal fees to develop contracts for the different programs. This is to include any legal fees for other needs related to the grant programs.

**Project Name: Upgrade to IT software and hardware**

Project Identification Number	6.1.0001
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Adopted Budget	\$380,000.00
Total Cumulative Obligations	\$380,000.00
Total Cumulative Expenditures	\$182,228.81
Current Period Obligations	\$182,228.81
Current Period Expenditures	\$182,228.81
Project Description	The proposed upgrades to the power supply redundancy and server capacity, along with extending and securing the network increase the county's ability to respond to situations like we faced with the lockdown. Redundancy means that should there be power interruptions in one area, we can compensate. Increasing server capacity means that should we need to disperse to work remotely, we can do the work the county needs without compromise or interruption. The same is true with extending the network to the judicial building. This will allow for trials to continue in a safe and secure manner and avoid the backlog of cases we still face, as well as potentially shortening the amount of time people are incarcerated.

**Project Name: Campus Renovation Planning**

Project Identification Number	6.1.0002
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	
Total Cumulative Obligations	\$50,000.00
Total Cumulative Expenditures	\$4,100.00
Current Period Obligations	\$4,100.00
Current Period Expenditures	\$4,100.00
Project Description	Site planning to make the County Campus function more efficiently for the public

## Subrecipients

### Subrecipient Name: Lisette Carrithers

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:lcarrithers@penobscot-county.net">lcarrithers@penobscot-county.net</a>
Address Line 1	██████████
Address Line 2	
Address Line 3	
City	██████████
State	ME
Zip	██████
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	Yes
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

### Subrecipient Name: Penobscot County, Maine

TIN	██████████
Unique Entity Identifier	
POC Email Address	
Address Line 1	97 Hammond St.
Address Line 2	
Address Line 3	
City	Bangor
State	ME
Zip	04401
Zip+4	
Entity Type	
Is the Recipient Registered in SAM.Gov?	Yes

### Subrecipient Name: LM Strategy

TIN	██████████
Unique Entity Identifier	
POC Email Address	<a href="mailto:laura@lmstrategy.com">laura@lmstrategy.com</a>
Address Line 1	██████████

Address Line 2	
Address Line 3	
City	██████
State	ME
Zip	██████
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

**Subrecipient Name: Haley Ward, Inc.**

TIN	██████
Unique Entity Identifier	
POC Email Address	
Address Line 1	One Merchant Plaza
Address Line 2	Suite 701
Address Line 3	
City	Bangor
State	ME
Zip	04401
Zip+4	8304
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	N/A

**Subrecipient Name: ScholarSelect, LLC**

TIN	██████
Unique Entity Identifier	
POC Email Address	
Address Line 1	5411 McCommas Blvd.
Address Line 2	
Address Line 3	
City	Dallas
State	TX
Zip	75206
Zip+4	
Entity Type	Contractor
Is the Recipient Registered in SAM.Gov?	N/A



## Subawards

### Subaward No: 00001

Subaward Type	Direct Payment
Subaward Obligation	\$218,821.76
Subaward Date	9/30/2021
Place of Performance Address 1	97 Hammond St, County Administrator's Office
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	This position is needed to manage these once in a lifetime funds and develop the processes to track and disperse the funds while maintaining the reporting and documentation required by the Federal government.
Subrecipient	Lisette Carrithers
Period of Performance Start	10/19/2021
Period of Performance End	12/31/2026

### Subaward No: 4.1:00001

Subaward Type	Grant: Lump Sum Payment(s)
Subaward Obligation	\$573,796.79
Subaward Date	3/3/2021
Place of Performance Address 1	97 Hammond St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04401
Place of Performance Zip+4	
Description	The people paid represent essential services the County required to have operating through out the pandemic. This pay allowed them to continue coming to work during this time and rewarded them for the risks they were taking in doing so. The County government provides vital services such as a 911 call center, Sheriff's office, and a jail. These services were vital to the continuation of the county's public safety. None of these services could be delayed due to the lockdown or other emergency measures. This money helped keep people in the jobs they were doing under the most difficult situations.



Subrecipient	Penobscot County, Maine
Period of Performance Start	3/3/2021
Period of Performance End	12/31/2021
Primary Sector	any work performed by an employee of a State, local, or Tribal government
Purpose of Funds	Premium pay for County employees meeting the definition of Essential workers

# Expenditures

## Expenditures for Awards more than \$50,000

### Expenditure: EN-00288615

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	
Expenditure Start	1/1/2022
Expenditure End	3/30/2022
Expenditure Amount	\$14,984.64

### Expenditure: EN-00042443

Project Name	Grant Manager
Subaward ID	SUB-0032363
Subaward No	00001
Subaward Amount	\$218,821.76
Subaward Type	Direct Payment
Subrecipient Name	
Expenditure Start	10/19/2021
Expenditure End	12/31/2021
Expenditure Amount	\$7,847.69

### Expenditure: EN-00042561

Project Name	Premium Pay- County Employees
Subaward ID	SUB-0033216
Subaward No	4.1:00001
Subaward Amount	\$573,796.79
Subaward Type	Grant: Lump Sum Payment(s)
Subrecipient Name	
Expenditure Start	3/3/2021
Expenditure End	12/31/2021
Expenditure Amount	\$573,796.79

## Aggregate Expenditures for Awards less than \$50,000

Expenditure: EN-00284436

Project Name	Administration of ARPA Local Grant Process
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$3,626.02
Total Period Obligation Amount	\$10,000.00

Expenditure: EN-00289003

Project Name	Campus Renovation Planning
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$4,100.00
Total Period Obligation Amount	\$50,000.00

Expenditure: EN-00284438

Project Name	Listening Session Facilitator
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Period Expenditure Amount	\$10,000.00
Total Period Obligation Amount	\$10,000.00

# Report

## Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	Yes
Revenue Loss Due to Covid-19 Public Health Emergency	\$10,000,000.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	To date funds have been used to upgrade county IT services as regards security and usability. Funds have also been allocated to explore potential site planning for any county campus renovations.

## Overview

Total Obligations	\$1,242,618.55
Total Expenditures	\$796,583.95
Total Number of Projects	6
Total Number of Subawards	6
Total Number of Expenditures	2

## Certification

Name	Lisette Carrithers
Telephone	203-843-2448
Title	Grant Manager
Email	<a href="mailto:lcarrithers@penobscot-county.net">lcarrithers@penobscot-county.net</a>
Submission Date	4/28/2022 3:50 PM